

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U66020HR2022PLC101655

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAPCM5100R

(ii) (a) Name of the company

MAX LIFE PENSION FUND MAN

(b) Registered office address

3rd Floor, Plot no. 90C, Sector 18, Urban Estate
Gurugram
Gurgaon
Haryana
122001

(c) *e-mail ID of the company

piyush.soni@maxlifeinsurance.

(d) *Telephone number with STD code

01244121500

(e) Website

www.maxlifepensionfund.com

(iii) Date of Incorporation

28/02/2022

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(b) CIN of the Registrar and Transfer Agent

U74899DL1973PLC006950

Pre-fill

Name of the Registrar and Transfer Agent

MAS SERVICES LTD

Registered office address of the Registrar and Transfer Agents

T-34, 2nd Floor,
Okhla Industrial Area, Phase - II

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K4	Pension Fund activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	MAX LIFE INSURANCE COMPAN	U74899PB2000PLC045626	Holding	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	60,000,000	55,000,000	55,000,000	55,000,000
Total amount of equity shares (in Rupees)	600,000,000	550,000,000	550,000,000	550,000,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	60,000,000	55,000,000	55,000,000	55,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	600,000,000	550,000,000	550,000,000	550,000,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	0	55,000,000	55000000	550,000,000	550,000,000	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0

v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	0	55,000,000	55000000	550,000,000	550,000,000	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

163,000

(ii) Net worth of the Company

551,050,241

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	60	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	54,999,940	100	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	55,000,000	100	0	0

Total number of shareholders (promoters)

7

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	

	Total	0	0	0	0
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Total number of shareholders (other than promoters)

0

**Total number of shareholders (Promoters+Public/
Other than promoters)**

7

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	0	0
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	1	0	1	0	0
B. Non-Promoter	0	3	0	3	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	0	4	0	4	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
PRASHANT KUMAR TF	08260516	Director	10	
NARASIMHA KUMMAM	00023046	Director	0	
SONU HALAN BHASIN	02872234	Director	0	
DINESH KUMAR MITTA	00040000	Director	0	01/04/2023
PIYUSH SONI	GARPS4922Q	Company Secretar	0	
SURESH VENKATA SA	AIWPB7392Q	CFO	0	
RANBHEER SINGA KA	AJRPD5671L	CEO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

5

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
PIYUSH SONI	GARPS4922Q	Company Secretar	23/03/2022	Appointment
SURESH VENKATA SA	AIWPB7392Q	CFO	23/03/2022	Appointment
SACHIN ARORA	ADVPA0820C	CEO	21/06/2022	Appointment
SACHIN ARORA	ADVPA0820C	CEO	15/10/2022	Cessation
RANBHEER SINGA KA	AJRPD5671L	CEO	15/10/2022	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

0

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding

B. BOARD MEETINGS

*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	23/03/2022	4	4	100
2	20/06/2022	4	4	100
3	26/07/2022	4	4	100
4	14/10/2022	4	4	100
5	25/01/2023	4	3	75

C. COMMITTEE MEETINGS

Number of meetings held

6

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	14/10/2022	3	3	100
2	Audit Committee	25/01/2023	3	2	66.67
3	Nomination and Remuneration	20/06/2022	3	3	100
4	Nomination and Remuneration	14/10/2022	3	3	100
5	Risk Management	20/06/2022	4	4	100
6	Investment Committee	20/06/2022	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	25/04/2023
								(Y/N/NA)
1	PRASHANT K	5	5	100	4	4	100	Yes
2	NARASIMHA	5	5	100	5	5	100	Yes
3	SONU HALAN	5	5	100	3	3	100	Yes
4	DINESH KUM	5	4	80	3	2	66.67	Not Applicable

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	PIYUSH SONI	Company Secre	0	0	0	0	0
2	SURESH VENKATA	CFO	0	0	0	0	0
3	SACHIN ARORA	CEO	0	0	0	0	0
4	RANBHEER SINGA	CEO	0	0	0	0	0
	Total		0	0	0	0	0

Number of other directors whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	NARASIMHA KUMM	Independent Dir	0	0	0	520,000	520,000
2	DINESH KUMAR M	Independent Dir	0	0	0	336,000	336,000
3	SONU HALAN BHA	Independent Dir	0	0	0	440,000	440,000
	Total		0	0	0	1,296,000	1,296,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

PRASHANT KUMAR TRIPATHY
Digitally signed by PRASHANT KUMAR TRIPATHY
Date: 2023.06.23 10:39:23 +05'30'

DIN of the director

08260516

To be digitally signed by

PIYUSH SONI
Digitally signed by PIYUSH SONI
Date: 2023.06.23 11:41:46 +05'30'

Company Secretary

Company secretary in practice

Membership number

39924

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

List of attachments

Shareholding Pattern_MLPFM.pdf
Form MGT-8_2022-23_MLPFM.pdf
Clarification Letter_Max Life Pension.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Form No. MGT-8

[Pursuant to Section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **M/s. Max Life Pension Fund Management Limited** (“the Company”) as required to be maintained under the Companies Act, 2013 (“the Act”) and the rules made there under for the period starting the date of incorporation of the Company i.e. February 28, 2022 upto the financial year ended on March 31, 2023 (“the Period”). In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. Its status under the Act;
 2. Maintenance of registers/ records & making entries therein within the time prescribed therefore;
 3. Filing of forms and returns ~~as stated in the annual return~~, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/ beyond the prescribed time;

During the period ended on March 31, 2023, the Company was not required to file any forms and returns to the Regional Director, Central Government, Tribunal and Court or other authorities under the Companies Act, 2013 and rules made thereunder.

4. Calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/ registers maintained for the purpose and the same have been signed;

During the period ended on March 31, 2023, the Company was not required to pass any resolution by postal ballot. Further, no meeting of members of the Company was required to be held.

5. Closure of Register of Members / Security holder as the case may be;

During the period ended on March 31, 2023, the Company was not required to close its Register of Members / Security holder as the case may be.

6. Advances/ loans to its Directors and/ or persons or firms or companies referred in Section 185 of the Act;

During the period ended on March 31, 2023, the Company has not made any advances/ loans to its Directors and/ or persons or firms or companies referred in Section 185 of the Act.

7. Contracts/ arrangements with related parties as specified in Section 188 of the Act;

During the period ended on March 31, 2023, the Company has entered only into those transactions with related parties which are in the ordinary course of the business and on arm's length basis, therefore, Section 188 is not attracted.

8. Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;

During the period ended on March 31, 2023, the Company has not made any

- Issue or allotment of Securities except the initial subscription
- transfer or transmission of securities
- buy back of securities
- redemption of preference shares or debentures
- alteration or reduction of share capital
- conversion of shares/ securities
- issue of security certificate

9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;

During the period ended on March 31, 2023, the Company was not required to keep in abeyance the rights to dividend, right shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.

10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;

During the period ended on March 31, 2023, the Company has not declared or paid any dividend and was not required to make any transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act.

11. Signing of Audited Financial Statement as per the provisions of Section 134 of the Act and report of Directors is as per Sub-Sections (3), (4) and (5) thereof;

12. Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;

During the period ended on March 31, 2023, there was no re-appointment/ retirement/ filling up casual vacancies of the Directors and no re-appointment/ retirement/ filling up of casual vacancies of the Key Managerial Personnel.

13. Appointment/ re-appointment/ filling up casual vacancies of auditors as per the provisions of Section 139 of the Act;

During the period ended on March 31, 2023, the Company was not required to fill up any casual vacancies of auditors as per the provisions of Section 139 of the Act.

14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;

During the period ended on March 31, 2023, the Company was not required to take any approvals from the Central Government, Tribunal, Regional Director and Court under the provisions of the Act.

15. Acceptance/ renewal/ repayment of deposits;

During the period ended on March 31, 2023, the Company has not made any acceptance/ renewal/ repayment of deposits under the provisions of the Act.

16. Borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;

During the period ended on March 31, 2023, the Company has not made any borrowings from its Directors, Members, Public Financial Institutions, Banks and others and creation/ modification/ satisfaction of charges in that respect.

17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act;

During the period ended on March 31, 2023, the Company has not has not given any guarantee or provided securities to other bodies corporate or person falling under the provisions of Section 186 of the Act.

18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

During the period ended on March 31, 2023, no alteration has been made to the Memorandum of Association and/or Article of Association of the Company.

For Chandrasekaran Associates

Company Secretaries

FRN: P1988DE002500

Peer Review Certificate No.: 1428/2021

RUPESH
AGARWAL

Digitally signed by
RUPESH AGARWAL
Date: 2023.06.22
18:26:24 +05'30'

Rupesh Agarwal

Managing Partner

Membership No. A16302

Certificate of Practice No. 5673

UDIN: _____

Date: 22/06/2023

Place: Delhi

Note:- We are issuing this report after examining the Secretarial Records including Minutes, Documents, Registers and other records etc., and some of them received by way of electronic mode from the Company and could not be verified from the original records. The management has confirmed that the records submitted to us are true and correct. This Report is limited to the Statutory Compliances on laws / regulations / guidelines listed in our report of which, the due date has been ended/expired on or before March 31, 2023 pertaining to Financial Year 2022-23.

Date: 22.06.2023

To,

Office of the Registrar of Companies (Delhi & Haryana)
Ministry of Corporate Affairs
Government of India
4th Floor, IFCI Tower, 61, Nehru Place
New Delhi – 110019, India

Subject: Clarification letter regarding MGT-8 of Max Life Pension Fund Management Limited (“Company”)

Dear Sir,

This is in reference to e-form MGT-7 (“the form”) being filed by the Company with your good office for the period starting incorporation of the Company i.e., February 28, 2022, till the financial year ended March 31, 2023. In this regard, the Company is required to attach Form MGT-8 as per Section 92 of the Companies Act, 2013 and Rules made thereunder duly certified by the Practicing Company Secretary (“PCS”) in the said form.

Further, as per the Unique Document Identification Number (“UDIN”) Guidelines issued by Institute of Company Secretary (“ICSI”) (as approved by the Council in its 261st (Special) Meeting held on 27 June 2019 at New Delhi), the PCS is required to issue UDIN for the report in Form MGT-8. However, due to the technical glitches on the website of the ICSI, the UDIN cannot be generated from the website of ICSI.

In order to ensure compliance under the Companies Act, 2013 read with the rules made thereunder w.r.t., filing of the said form to the RoC, we have issued the Form MGT-8 without generating UDIN.

Kindly take the above information on record.

Thanking You,

For **Chandrasekaran Associates**
Company Secretaries
FRN: P1988DE002500
Peer Review Certificate No.: 1428/2021

RUPESH
AGARWAL

Digitally signed by
RUPESH AGARWAL
Date: 2023.06.22
18:34:03 +05'30'

Rupesh Agarwal
Managing Partner
Membership No. A16302
Certificate of Practice No.: 5673

MAX LIFE PENSION FUND MANAGEMENT LIMITED

Shareholding Pattern of Max Life Pension Fund Management Limited as on March 31, 2023

Sr. No.	Name of the Shareholder	Number of shares held	Percentage of Shareholding
1.	Max Life Insurance Company Limited ("MLIC")	54,999,940	~100%
2.	Mr. Prashant Tripathy (Nominee of MLIC)	10	Nil (approx.)
3.	Mr. Amitabh Lal Das (Nominee of MLIC)	10	Nil (approx.)
4.	Mr. Anurag Chauhan (Nominee of MLIC)	10	Nil (approx.)
5.	Mr. Amrit Singh (Nominee of MLIC)	10	Nil (approx.)
6.	Mr. Mandeep Mehta (Nominee of MLIC)	10	Nil (approx.)
7.	Mr. V. Krishnan (Nominee of MLIC)	10	Nil (approx.)
	Total	55,000,000	100.00

For Max Life Pension Fund Management Limited

PIYUSH Digitally signed
by PIYUSH SONI
SONI Date: 2023.06.19
16:43:02 +05'30'

Mr. Piyush Soni
Company Secretary

MAX LIFE PENSION FUND MANAGEMENT LIMITED

Registered office and Corporate office: 3rd Floor, Plot no. 90C, Sector 18, Urban Estate Gurugram, Haryana 122 001
Corporate Identity Number (CIN): U66020HR2022PLC101655
T +91-124-4121500 F +91-124-6659811 E piyush.soni@maxlifeinsurance.com